

1 (B) in subparagraph (A), in the matter  
2 preceding clause (i), by striking “3” and insert-  
3 ing “2”.

4 **TITLE IV—ANTI-MONEY LAUN-**  
5 **DERING WHISTLEBLOWER IM-**  
6 **PROVEMENT**

7 **SEC. 401. WHISTLEBLOWER INCENTIVES AND PROTEC-**  
8 **TIONS.**

9 (a) IN GENERAL.—Section 5323 of title 31, United  
10 States Code, as amended by section 6314 of the Anti-  
11 Money Laundering Act of 2020 (division F of Public Law  
12 116–283) is amended by striking subsection (b) and in-  
13 serting the following:

14 “(b) AWARDS.—

15 “(1) IN GENERAL.—In any covered judicial or  
16 administrative action, or related action, the Sec-  
17 retary, under regulations prescribed by the Sec-  
18 retary, in consultation with the Attorney General  
19 and subject to subsection (c), shall pay an award or  
20 awards to 1 or more whistleblowers who voluntarily  
21 provided original information to the employer of the  
22 individual, the Secretary, or the Attorney General,  
23 as applicable, that led to the successful enforcement  
24 of the covered judicial or administrative action, or  
25 related action, in an aggregate amount equal to—

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1           “(A) not less than 10 percent, in total, of  
2           what has been collected of the monetary sanc-  
3           tions imposed in the action or related actions;  
4           and

5           “(B) not more than 30 percent, in total, of  
6           what has been collected of the monetary sanc-  
7           tions imposed in the action or related actions.

8           “(2) PAYMENT OF AWARDS.—

9           “(A) IN GENERAL.—Any amount paid  
10          under paragraph (1) shall be paid from the  
11          Fund established under paragraph (3).

12          “(B) RELATED ACTIONS.—The Secretary  
13          may pay awards less than the amount described  
14          in paragraph (1)(A) for related actions in which  
15          a whistleblower may be paid by another whistle-  
16          blower award program.

17          “(3) SOURCE OF AWARDS.—

18          “(A) IN GENERAL.—There shall be estab-  
19          lished in the Treasury of the United States a  
20          revolving fund to be known as the Financial In-  
21          tegrity Fund (referred to in this subsection as  
22          the ‘Fund’).

23          “(B) USE OF FUND.—The Fund shall be  
24          available to the Secretary, without further ap-  
25          propriation or fiscal year limitations, only for

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1 the payment of awards to whistleblowers as pro-  
2 vided in subsection (b).

3 “(C) RESTRICTIONS ON USE OF FUND.—  
4 The Fund shall not be available to pay any per-  
5 sonnel or administrative expenses.

6 “(4) DEPOSITS AND CREDITS.—

7 “(A) IN GENERAL.—There shall be depos-  
8 ited into or credited to the Fund an amount  
9 equal to—

10 “(i) any monetary sanction collected  
11 by the Secretary or Attorney General in  
12 any judicial or administrative action under  
13 this title, chapter 35 or section 4305 or  
14 4312 of title 50, or the Foreign Narcotics  
15 Kingpin Designation Act (21 U.S.C. 1901  
16 et seq.), unless the balance of the Fund at  
17 the time the monetary sanction is collected  
18 exceeds \$300,000,000; and

19 “(ii) all income from investments  
20 made under paragraph (5).

21 “(B) ADDITIONAL AMOUNTS.—If the  
22 amounts deposited into or credited to the Fund  
23 under subparagraph (A) are not sufficient to  
24 satisfy an award made under this subsection,  
25 there shall be deposited into or credited to the

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1 Fund an amount equal to the unsatisfied por-  
2 tion of the award from any monetary sanction  
3 collected by the Secretary of the Treasury or  
4 Attorney General in the covered judicial or ad-  
5 ministrative action on which the award is based.

6 “(C) EXCEPTION.—No amounts to be de-  
7 posited or transferred into the United States  
8 Victims of State Sponsored Terrorism Fund  
9 pursuant to the Justice for United States Vic-  
10 tims of State Sponsored Terrorism Act (34  
11 U.S.C. 20144) or the Crime Victims Fund pur-  
12 suant section 1402 of the Victims of Crime Act  
13 of 1984 (34 U.S.C. 20101) shall be deposited  
14 into or credited to the Fund.

15 “(5) INVESTMENTS.—

16 “(A) AMOUNTS IN FUND MAY BE IN-  
17 VESTED.—The Secretary of the Treasury may  
18 invest the portion of the Fund that is not re-  
19 quired to meet the current needs of the Fund.

20 “(B) ELIGIBLE INVESTMENTS.—Invest-  
21 ments shall be made by the Secretary of the  
22 Treasury in obligations of the United States or  
23 obligations that are guaranteed as to principal  
24 and interest by the United States, with matu-

1           rities suitable to the needs of the Fund as de-  
2           termined by the Secretary.

3                   “(C) INTEREST AND PROCEEDS CRED-  
4           ITED.—The interest on, and the proceeds from  
5           the sale or redemption of, any obligations held  
6           in the Fund shall be credited to, and form a  
7           part of, the Fund.”.

8           (b) TECHNICAL AND CONFORMING AMENDMENTS.—  
9   Section 5323 of title 31, United States Code, is amend-  
10 ed—

11           (1) in subsection (a)—

12                   (A) in paragraphs (1) and (5), by striking  
13           “this subchapter or subchapter III” each place  
14           the term appears and inserting “this sub-  
15           chapter, chapter 35 or section 4305 or 4312 of  
16           title 50, the Foreign Narcotics Kingpin Des-  
17           ignation Act (21 U.S.C. 1901 et seq.), or .),  
18           and for conspiracies to violate the aforemen-  
19           tioned provisions”; and

20                   (B) in paragraph (4)—

21                           (i) by inserting “covered” after “re-  
22                           spect to any”;

23                           (ii) by striking “under this subchapter  
24                           or subchapter III”; and

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1 (iii) by striking “action by the Sec-  
2 retary or the Attorney General” and in-  
3 serting “covered action”;

4 (2) in subsection (c)(1)(B)(iii)—

5 (A) by striking “subchapter and sub-  
6 chapter III” and inserting “this subchapter,  
7 chapter 35 or section 4305 or 4312 of title 50,  
8 and the Foreign Narcotics Kingpin Designation  
9 Act (21 U.S.C. 1901 et seq.)”; and

10 (B) by striking “either such subchapter”  
11 and inserting “the covered judicial or adminis-  
12 trative action”; and

13 (3) in subsection (g)(4)(D)(i), by inserting  
14 “chapter 35 or section 4305 or 4312 of title 50, or  
15 the Foreign Narcotics Kingpin Designation Act (21  
16 U.S.C. 1901 et seq.),” after “subchapter,”.

17 **TITLE V—SMALL BUSINESS**  
18 **MERGERS, ACQUISITIONS,**  
19 **SALES, AND BROKERAGE SIM-**  
20 **PLIFICATION**

21 **SEC. 501. REGISTRATION EXEMPTION FOR MERGER AND**  
22 **ACQUISITION BROKERS.**

23 (a) IN GENERAL.—Section 15(b) of the Securities  
24 Exchange Act of 1934 (15 U.S.C. 78o(b)) is amended by  
25 adding at the end the following: