

Enforcement actions from whistleblower tips have resulted in more than \$500 million in financial remedies

The Securities and Exchange Commission's awards to whistleblowers have surpassed the \$100 million mark with the program's second-largest award of more than \$22 million announced August 30.

The whistleblower program was established by Congress to incentivize whistleblowers with specific, timely and credible information about federal securities laws violations to report to the SEC. Read more.



TOP 10 AWARDS

2016

August 29 — \$22 million

June 9 — \$17 million

May 17 — \$5 million to \$6 million

May 13 — <u>\$3.5 million</u>

March 8 — \$2 million

2015

July 17 — \$3 million

April 22 — \$1.4 million to \$1.6 million

2014

September 22 — \$30 million

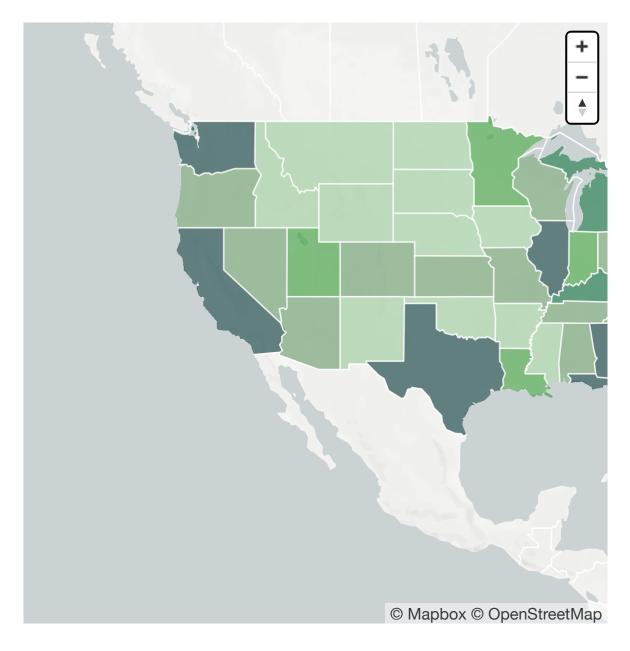
June 3 — <u>\$875,000</u> **2013**

September 30 — \$14 million

Since the program's inception, the SEC has awarded \$107 million to 33 whistleblowers

Tips by State

Whistleblower tips submitted from 2011 to 2015



Whistleblower tips have also been submitted by individuals in 95 foreign countries between fiscal years 2011 and 2015. The top five were the United Kingdom (291), Canada (216), China (164), India (153), and Australia (97). This chart includes data from the date the whistleblower rules became effective on August 12, 2011, through the end of fiscal year 2015.

Modified: Aug. 31, 2016