

AO245b (USDC-CT Rev. 9/07)

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UNITED STATES DISTRICT COURT  
District of Connecticut

UNITED STATES OF AMERICA

v.

JUDGMENT IN A CRIMINAL CASE

CASE NO. 3:07CR134-1 JBA  
 3:07CR174 JBA (CR07-496 (E.D.N.Y.)  
 3:07CR175 JBA (CR. NO. 2007/43 (U.S.V.I.)  
 3:07CR176 JBA (07-60147-CR- Zlock/Snow  
 (S.D.FLA.)

IONIA MANAGEMENT, S.A.

WILLIAM M. BROWN  
 Assistant United States Attorney

GEORGE M. CHALOS  
 Defendant's Attorney

**THE DEFENDANT was found guilty after a jury trial on counts 1-4 of 3:07cr134; counts 1-4 of 3:97cr174; counts 1-6 of 3:07cr175 and counts 1-4 of 3:07cr176.**

Accordingly the defendant is adjudicated guilty of the following offenses:

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Concluded</u>	<u>Counts</u>
33 U.S.C. §§1908(a)	ACT TO PREVENT POLLUTION FROM SHIPS	MARCH, 2007	2 - 3:07CR134
			1-3 - 3:07CR174
			1-6 - 3:07CR175
			1-3 - 3:07CR176
18 U.S.C. §§1519	FALSIFYING RECORDS IN A FEDERAL INVESTIGATION	MARCH, 2007	3 - 3:07CR134
			4 - 3:07CR174
			4 - 3:07CR176
18 U.S.C. §§1505	OBSTRUCTION OF JUSTICE	MARCH, 2007	4 - 3:07CR134
18 U.S.C. §§371	CONSPIRACY	MARCH, 2007	1 - 3:07CR134

The following sentence is imposed pursuant to the Sentencing Reform Act of 1984.

**PROBATION**

The defendant shall be placed on probation for a total term of 48 months.

The Mandatory and Standard Conditions of Probation as attached, are imposed. In addition, the following Special Conditions are imposed:

- All vessels owned and /or managed by Ionia Management S.A. entering U.S. ports are required to be equipped with digital recording equipment for the oily water separator ("white box") and no such vessel under the authority of Ionia will be permitted without it.
- A special Master will be appointed by the Court to whom all records related to oil contaminated wastes from Ionia vessels will be reported monthly, along with Ionia in-house analyses. The Special Master will hold compliance hearings every six months, taking sworn testimony from witnesses, to insure the accuracy and meaning of the records as demonstrating APPS /MARPOL compliant ship operations.

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Defendant will bear the cost of the Special Master's regular hourly rate. The parties will submit a list of Special Master nominees with CVs by January 4, 2008.

3. All reports and records will be maintained by Ionia for the entire period of probation, including sludge reports which are reported to Ionia weekly.

**CRIMINAL MONETARY PENALTIES**

The defendant must pay the total criminal monetary penalties under the schedule of payments (as follows) or (as noted on the restitution order).

<b>Special Assessment:</b>	\$7,200.00	DUE IMMEDIATELY
<b>Fine:</b>	\$4,900,000.00	DUE IMMEDIATELY
<b>Restitution:</b>	\$0.00	NOT APPLICABLE

It is further ordered that the defendant will notify the United States Attorney for this district within 30 days of any change of name, residence or mailing address until all fines, restitution, costs and special assessments imposed by this judgment, are paid.

**DECEMBER 14, 2007**

\_\_\_\_\_  
Date of Imposition of Sentence

\_\_\_\_\_  
Janet Bond Arterton  
United States District Judge  
Date: DECEMBER 18, 2007

Judgment received by U.S. Marshal at \_\_\_\_\_ on \_\_\_\_\_.

Print name \_\_\_\_\_

Signature \_\_\_\_\_  
Deputy Marshal

**CERTIFIED AS A TRUE COPY**

**ON THIS DATE 12/ /07**

**ROBIN D. TABORA, Clerk**

**BY: \_\_\_\_\_**

**Deputy Clerk**

### CONDITIONS OF PROBATION

In addition to the Standard Conditions listed below, the following indicated (■) Mandatory Conditions are imposed:

#### MANDATORY CONDITIONS

- (1) The defendant shall not commit another federal, state or local offense;
- (2) For a felony, the defendant shall (A) make restitution, (B) give notice to victims of the offense pursuant to 18 U.S.C. section 3555, or (C) reside or refrain from residing, in a specified place or area, unless the court finds on the record that extraordinary circumstances exist that would make such a condition plainly unreasonable, in which event the court shall impose one or more of the discretionary conditions set forth under 18 U.S.C. section 3563(b);
- (3) The defendant shall not unlawfully possess a controlled substance;
- (4) For a domestic violence crime as defined in 18 U.S.C. section 3561(b) by a defendant convicted of such an offense for the first time, the defendant shall attend a public, private, or non-profit offender rehabilitation program that has been approved by the court, in consultation with a State Coalition Against Domestic Violence or other appropriate experts, if an approved program is available within a 50-mile radius of the legal residence of the defendant;
- (5) The defendant shall refrain from any unlawful use of a controlled substance and submit to one drug test within 15 days of release on probation and at least two periodic drug tests thereafter for use of a controlled substance;
- (6) The defendant shall (A) make restitution in accordance with 18 U.S.C. sections 2248, 2259, 2264, 2327, 3663, 3663A, and 3664; and (B) pay the assessment imposed in accordance with 18 U.S.C. section 3013;
- (7) The defendant shall notify the court of any material change in the defendant's economic circumstances that might affect the defendant's ability to pay restitution, fines or special assessments;
- (8) If the court has imposed a fine, the defendant shall pay the fine or adhere to a court-established payment schedule;
- (9) A defendant convicted of a sexual offense as described in 18 U.S.C. sections 4042(c)(4) shall report the address where the defendant will reside and any subsequent change of residence to the probation officer responsible for supervision, and shall register as a sex offender in any State where the person resides, is employed, carries on a vocation, or is a student.
- (10) The defendant shall cooperate in the collection of a DNA sample from the defendant.

While on probation, the defendant also shall comply with all of the following Standard Conditions:

#### STANDARD CONDITIONS

- (1) The defendant shall not leave the judicial district or other specified geographic area without the permission of the court or probation officer;
- (2) The defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month;
- (3) The defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- (4) The defendant shall support the defendant's dependents and meet other family responsibilities (including, but not limited to, complying with the terms of any court order or administrative process pursuant to the law of a state, the District of Columbia, or any other possession or territory of the United States requiring payments by the defendant for the support and maintenance of any child or of a child and the parent with whom the child is living);
- (5) The defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons;
- (6) The defendant shall notify the probation officer at least ten days prior to any change of residence or employment;
- (7) The defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance, or any paraphernalia related to any controlled substance, except as prescribed by a physician;
- (8) The defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered, or other places specified by the court;
- (9) The defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
- (10) The defendant shall permit a probation officer to visit the defendant at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer;
- (11) The defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- (12) The defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court;
- (13) The defendant shall pay the special assessment imposed or adhere to a court-ordered installment schedule for the payment of the special assessment.

**Upon a finding of a violation of probation, I understand that the court may (1) revoke supervision and impose a term of imprisonment, (2) extend the term of supervision, and/or (3) modify the conditions of supervision.**

**These conditions have been read to me. I fully understand the conditions and have been provided a copy of them.**

(Signed) \_\_\_\_\_  
Defendant

\_\_\_\_\_  
Date

\_\_\_\_\_  
U.S. Probation Officer/Designated Witness

\_\_\_\_\_  
Date